

Namibia Unit Trust Annexure A

MandG Investments Unit Trusts (Namibia) Ltd (Registration Number: 2007/609) (the Manager) is an approved unit trust Management Company and a subsidiary of MandG Investments (Namibia) (Pty) Ltd (Registration Number: 1996/185) (M&G Namibia), who performs the asset management for the Manager.

Our contact details

Telephone: +264 61 259 223

Email: instructionnam@mymandg.co.za

Web: www.mandg.co.za

Postal address:

PO Box 25743
Windhoek, Namibia

Physical address:

Maerua Mall Office Tower, 2nd floor
Corner of Robert Mugabe Avenue and Jan Jonker Road
Windhoek, Namibia

How to complete this form

- Please complete and sign the appropriate declaration under **Section 3**.
- This form must be signed by the Authorised Individual whose details appear under **Section 2** of this form.
- Information written outside of the relevant fields will not be considered when processing your application.

Document checklist

- This completed, signed and dated form
- Proof of identity*
- Proof of authority to act on behalf of the investor

* Please refer to the **Financial Intelligence Act (FIA) and Supporting Documentation Annexure** for a list of documentation we will accept

What happens next

- Please e-mail a copy of this completed and signed Annexure A and supporting documentation to instructionnam@mymandg.co.za. We reserve the right to request additional documents, if required.
- Processing can only happen once all the necessary documents have been received. Failure to do so will cause a delay in your funds being invested.
- You will be notified once your application has been successfully processed.

Important information

- **Daily cut-off times:** 09:00 (Namibian time) for M&G Namibian Money Market Fund
12:30 (Namibian time) for all other investment options

1 Existing investor details

Existing M&G investor number

First name and surname/Entity name
(e.g. company or trust name)

2 Authorised individual's details

Please indicate under which capacity are you completing this form Authorised signatory Legal guardian

Title First name(s)

Surname Gender M F

Nationality Date of birth

ID number (Passport number if foreign national) Passport expiry date

Citizenship

Employer

Nature and location of business activities (if any)

Occupation

Contact details

Please include the international dialing code on all telephone numbers

Residential address

Country Postal code

Postal address (if different to residential)

Country Postal code

Telephone + / - Cellphone* + -

Email address

*If you have appointed a Financial Adviser on this investment, they may have the ability to submit instructions on your behalf via the Manager's secure online platform. Notification of these instructions submitted by your Financial Adviser will be sent to this cell phone number and email address for approval before being processed.

It is your responsibility to ensure that this cellphone number and email address is your own and that it is not accessible by others.

Tax details

Please indicate which country is your primary tax residency?

Passport number

Tax identification number (TIN) Please tick if this country does not issue a TIN number

Are you a passport holder, citizen, resident or tax resident in the USA? Yes No

Politically Exposed Person (PEP) Declarations

Please complete the below section. If you require more information refer to **Appendix 1** at the back of this application form:

Are you a Domestic PEP as defined in Guidance Note 1 of 2019 read with section 23 of the Financial Intelligence Act, 2012 (Act No. 13 of 2012) as amended? Yes No

Are you a Foreign PEP as defined in Guidance Note 1 of 2019 read with section 23 of the Financial Intelligence Act, 2012 (Act No. 13 of 2012) as amended? Yes No

Are you an International organisation PEP as defined in Guidance Note 1 of 2019 read with section 23 of the Financial Intelligence Act, 2012 (Act No. 13 of 2012) as amended? Yes No

Are you a Family Member or known close associate of a PEP, Foreign PEP or International organisation PEP as defined in Guidance Note 1 of 2019 read with section 23 of the Financial Intelligence Act, 2012 (Act No. 13 of 2012) as amended? Yes No

3 Investor Declaration

Some of this declaration is not strictly necessary under Namibian law, but it is good practice

By signing this form, you confirm that:

- The Manager has:
 - Provided you with sufficient business information, confirmation of the required management company licence and confirmation of the areas of financial service that it is licensed to offer.
 - Not done any financial or investment analysis for you, and therefore there is the risk that your chosen investment portfolio or fund may not be entirely appropriate for your circumstances.
- You understand that:
 - It is your responsibility to carefully consider on your own, or by seeking advice from an independent Financial Adviser, whether your chosen fund's benchmark and terms and conditions are appropriate for your objectives, financial situation and particular needs.
 - The market value of your investment will fluctuate in line with the market values of the underlying securities of the funds. The investment shall be subject to any volatility in the underlying markets, including exchange rate fluctuations.
 - This application form, main trust deed, the supplemental trust deed and fund fact sheets of your chosen unit trust fund constitute the entire agreement between you and the Manager.
- The source of any investment amount has been declared or all other aspect of a transaction relating to this investment complies with the Financial Intelligence Act.
- You are acting for your own account and you have made your own independent decision to enter into this investment.
- You are not relying on any communication from The Manager – whether written, oral or implied – as investment advice or as a recommendation to enter into the investment. You are not considering the information and explanations relating to the terms and conditions of an investment as investment advice or a recommendation.
- You have received no assurance or guarantee from the Manager regarding the expected returns of the investment.
- The information in this form is true and correct. All material facts must be accurately disclosed. You are responsible for the accuracy and completeness of all answers, statements or other information provided on your behalf.
- Where this application is signed in a representative capacity, you have the necessary authority to do so, and this transaction is within your power.
- Information relating to your account (including duplicate statements, valuations and other information that may be required from time to time) may be released to you or to the Financial Adviser indicated in this application.
- You have received the following information from either M&G or your Financial Adviser and have read it:
 - The most recent version of the terms and conditions of this investment available on our website (www.mandg.com.na/terms-and-conditions/)
 - The Fund Fact Sheet/s of your chosen unit trust funds
 - The risk warning (below)
 - The most recent version of the Namibian Online Terms and Conditions available on our website (www.mandg.com.na/terms-and-conditions/)

Risk warning

Collective Investment Schemes (unit trusts) are generally medium-to long-term investments. Past performance is not necessarily a guide to future investment performance. Unit trust prices are calculated on a net asset value basis. This means the price is the total net market value of all assets of the unit trust fund divided by the total number of units of the fund. Any market movements – for example in share prices, bond prices, money market prices or currency fluctuations - relevant to the underlying assets of the fund may cause the value of the underlying assets to go up or down. As a result, the price of your units may go up or down. Unit trusts are traded at the ruling forward price of the day, meaning that transactions are processed during the day before you or the Manager know what the price at the end of the day will be. The price and therefore the number of units involved in the transaction are only known on the following day. The unit trust fund may borrow up to 10% of the fund value, and it may also lend any scrip (proof of ownership of an investment instrument) that it holds to earn additional income. A M&G unit trust fund may consist of different fund classes that are subject to different fees and charges. The Manager will pay your Financial Adviser a standard ongoing adviser fee, which is included in the overall costs of the fund. A Collective Investment Scheme (CIS) summary with all fees and maximum initial and ongoing adviser fees is available on our website. The Manager may, at its discretion, close your chosen unit trust fund to new investors and to additional investments by existing investors to make sure that it is managed in accordance with its mandate. It may also stop your existing debit order investment. The Manager makes no guarantees as to the capital invested in the fund or the returns of the fund. Excessive withdrawals from the fund may place the fund under liquidity pressure and, in such circumstances; a process of ring fencing withdrawal instructions and managed pay outs over time may be followed. A money market fund is not a bank deposit account and The M&G Namibian Money Market Fund aims to maintain a constant price of 100 cents per unit. Foreign securities including foreign unit trust funds may be included in unit trusts. As a result, those unit trusts may face material risks. The volatility of the fund may be higher and the liquidity of the underlying securities may be restricted due to relative market sizes and market conditions. The fund's ability to settle securities and to repatriate investment income, capital or the proceeds of sales of securities may be adversely affected for multiple reasons including market conditions, macro-economic and political circumstances. Further, the return on the security may be affected (positively or negatively) by the difference in tax regimes between the domestic and foreign tax jurisdictions. The availability of market information and information on any underlying sub-funds may be delayed. The investor acknowledges the inherent risk associated with the selected fund and that there are no guarantees. The Manager is a member of the Association of Unit Trusts in Namibia.

Full name of investor or person authorised to sign on behalf of investor

Capacity

Signature of investor or person authorised to sign on behalf of investor

Place

Date

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